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FORM PTO-1449 (Modified)	Attorney Docket No.: 15270J-004760US	Application No.: 09/580,018
LIST OF PATENTS AND PUBLICATIONS		
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		

Reference Designation		U.S. PATENT DOCUMENTS			Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	5,958,883	9/28/99	Snow			
AB	5,955,317	9/21/99	Suzuki et al.			
AC	5,955,079	9/21/99	Mond et al.			
AD	5,877,399	3/2/99	Hsiao et al.			NOV 07 2000
AE	5,869,093	2/9/99	Weiner et al.			
AF	5,869,054	2/9/99	Weiner et al.			TECH CENTER 1600/2800
AG	5,854,204	12/29/98	Findeis et al.			
AH	5,851,996	12/22/98	Kline			
AI	5,849,298	12/15/98	Weiner et al.			
AJ	5,837,473	11/17/98	Maggio et al.			
AK	5,786,180	7/28/98	Konig et al.			
AL	5,753,624	5/19/98	McMichael et al.			
AM	5,750,349	5/12/98	Suzuki et al.			
AN	5,733,547	3/31/98	Weiner et al.			
AO	5,688,651	11/18/97	Solomon			
AP	5,679,348	10/21/97	Nesburn et al.			
AQ	5,645,820	7/8/97	Hafler et al.			
AR	5,641,474	6/24/97	Hafler et al.			
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AT	5,612,486	3/18/97	McConlogue et al.			
AU	5,605,811	2/25/97	Seubert et al.			
AV	5,585,100	12/17/96	Mond et al.			
AW	5,571,500	11/5/96	Hafler et al.			
AX	5,571,499	11/5/96	Hafler et al.			
AY	5,434,170	7/18/95	Andrusis et al.			
AZ	5,387,742	2/7/95	Cordell			
BA	5,231,000	7/27/93	Majocha et al.			
BB	5,220,013	6/15/93	Pontec et al.			
BC	5,208,036	5/4/93	Eppstein et al.			
BD	5,192,753	3/9/93	McGeer et al.			
BE	5,057,540	10/15/91	Kensil et al.			
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	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
BG	WO 99/60024	11/25/99	PCT			

FORM PTO-1449 (Modified)			Attorney Docket No.: 15270J-004760US	Application No.: 09/580,018
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: DALE B. SCHENK et al.	
			Filing Date: May 26 2000 RECEIVED NOV 03 2000 PCT RECEIVED NOV 07 2000 TECH CENTER 1500/2900	Group: 1641-1647
SO BH	WO 99/60021	11/25/99	PCT	
BI	WO 99/58564	11/18/99	PCT	
BJ	WO 99/27949	6/10/99	PCT	
BK	WO 99/27944	6/10/99	PCT	
BL	WO 99/27911	6/10/99	PCT	
BM	WO 99/06066	2/11/99	PCT	
BN	WO 98/44955	10/15/98	PCT	
BO	WO 98/07850	2/26/98	PCT	
BP	WO 97/17613	5/15/97	PCT	
BQ	WO 96/39176	12/12/96	PCT	
BR	WO 96/25435	8/22/96	PCT	
BS	WO 96/18900	6/20/96	PCT	
BT	WO 95/31996	11/30/95	PCT	
BU	WO 95/11311	4/27/95	PCT	
BV	WO 95/05853	3/2/95	PCT	
BW	WO 95/04151	2/9/95	PCT	
BX	WO 94/03615	2/17/94	PCT	
BY	WO 94/01772	1/20/94	PCT	
BZ	WO 93/21950	11/11/93	PCT	
CA	WO 93/16724	9/2/93	PCT	
CB	WO 93/15760	8/19/93	PCT	
CC	WO 93/14200	7/22/93	PCT	
CD	WO 93/02189	2/4/93	PCT	
CE	WO 92/13069	8/6/92	PCT	
CF	WO 92/06708	4/30/92	PCT	
CG	WO 92/06187	4/16/92	PCT	
CH	WO 91/16819	11/14/91	PCT	
CI	WO 91/19810	12/26/91	PCT	
CJ	WO 91/12816	9/5/91	PCT	
CK	WO 91/08760	6/27/91	PCT	
CL	WO 90/12871	11/1/90	PCT	
CM	WO 90/12870	11/1/90	PCT	
CN	WO 89/06242	7/13/89	PCT	
CO	WO 89/06689	7/27/89	PCT	
CP	WO 89/03687	5/5/89	PCT	
CQ	WO 88/10120	12/29/88	PCT	
CR	EP 506 785	3/15/00	Europe	
SO CS	EP 639 081	11/3/99	Europe	

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SP CT	EP 561 087	8/4/99	Europe	Filing Date: May 16 2000 Group: 1641 (C47)
CU	EP 526 511	5/28/97	Europe	NOV 03 2000
CV	EP 911 036	4/28/99	Europe	NOV 07 2000
CW	EP 652 962	12/16/98	Europe	TECH CENTER 1600/2000
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CY	EP 863 211	9/9/98	Europe	
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DB	EP 782 859	7/9/97	Europe	
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DI	GB 2 335 192	9/15/99	United Kingdom	
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SP DK	Andersen et al., "Do nonsteroidal anti-inflammatory drugs decrease the risk for Alzheimer's disease?", <u>Neurology</u> , 45:1441-1445 (1995).
DL	Associated Press, "Immune cells may promote Alzheimer's, a study finds," <u>The Boston Globe</u> (4/13/95).
DM	Bauer et al., "Interleukin-6 and α -2-macroglobulin indicate an acute-phase state in Alzheimer's disease cortices," <u>FEBS Letters</u> , 285(1):111-114 (1991).
DN	Blass, John P., "Immunologic Treatment of Alzheimer's Disease," <u>New England J. Medicine</u> , 341(22):1694 (1999).
DO	Bodmer et al., "Transforming Growth Factor-Beta Bound to Soluble Derivatives of the Beta Amyloid Precursor Protein of Alzheimer's Disease," <u>Biochem. Biophys. Res. Comm.</u> , 171(2):890-897 (1990).
DP	Borchelt et al., "Accelerated Amyloid Deposition in the Brains of Transgenic Mice Coexpressing Mutant Presenilin 1 and Amyloid Precursor Proteins", <u>Neuron</u> , 19: 939-945 (1997).
DQ	Boris-Lawrie et al., "Recent advances in retrovirus vector technology", <u>Cur. Opin. Genet. Develop.</u> , 3: 102-109 (1993).
DR	Brice et al., "Absence of the amyloid precursor protein gene mutation (APP717: Val->Ile) in 85 cases of early onset Alzheimer's disease," <u>J. Neurology, Neurosurg. Psychiatry</u> , 56:112-115 (1993).
DS	Chao et al., "Transforming Growth Factor- β Protects human Neurons Against β -Amyloid-Induced Injury," <u>Soc. Neurosci. Abstracts</u> , 19:513.7 (1993).
DT	Duff et al., "Mouse model made", <u>Nature</u> , 373: 476-477 (1995)
DU	Elizan et al., "Antineurofilament antibodies in a postencephalitic and idiopathic parkinson's disease," <u>J. Neurol. Sciences</u> , 59:341-347 (1983).
DV	Felsenstein et al., "Processing of the β -amyloid precursor protein carrying the familial, Dutch-type, and a novel recombinant C-terminal mutation," <u>Neuroscience Letters</u> , 152:185-189 (1993).
DW	Finch et al., "Evolutionary Perspectives on Amyloid and Inflammatory Features of Alzheimer Disease," <u>Neurobiology of Aging</u> , 17(5):809-815 (1996).

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		Applicant: DALE B. SCHENK et al.	
		Filing Date: May 26, 2000	Group: 1641 1647
DX	Fisher et al., "Expression of the amyloid precursor protein gene in mouse oocytes and embryos," <u>PNAS</u> , 88:1779-1782 (1991).		
DY	Flanders et al., "Altered expression of transforming growth factor- β in Alzheimer's disease," <u>Neurology</u> , 45:1561-1569 (1995).		
DZ	Games et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F β -amyloid precursor protein", <u>Nature</u> , 373(6514): 523-527 (1995).		
EA	Gandy et al., "Amyloidogenesis in Alzheimer's disease: some possible therapeutic opportunities," <u>TiPS</u> , 13:108-113 (1992).		
EB	Gaskin et al., "Human antibodies reactive with beta-amyloid protein in Alzheimer's disease," <u>J. Exp. Med.</u> , 177:1181-1186 (1993).		
EC	Glenn et al., "Skin immunization made possible by cholera toxin", <u>Nature</u> , 391: 851 (1998).		
ED	Glenn et al., "Alzheimer's Disease: Initial Report of the Purification and Characterization of a Novel Cerebrovascular Amyloid Protein", <u>Biochemical and Biophysical Research Communications</u> , 120(3): 885-890 (1994).		
EE	Glenn et al., "Alzheimer's Disease and Downs Syndrome: Sharing of A Unique Cerebrovascular Amyloid Fibril Protein", <u>Biochemical and Biophysical Research Communications</u> , 122(3): 1131-1135 (1984).		
EF	Goate et al., "Segregation of a missense mutation in the amyloid precursor protein gene with familial Alzheimer's disease," <u>Nature</u> , 349:704-706 (1991).		
EG	Gozes et al., "Neuroprotective strategy for Alzheimer disease: Intranasal administration of a fatty neuropeptide," <u>PNAS</u> , 93:427-432 (1996).		
EH	Gupta et al., "Differences in the immunogenicity of native and formalized cross reacting material (CRM197) of diphtheria toxin in mice and guinea pigs and their implications on the development and control of diphtheria vaccine based on CRMs", <u>Vaccine</u> , 15(12/13): 1341-1343 (1997).		
EI	Haga et al., "Synthetic Alzheimer amyloid β /A4 peptides enhance production of complement C3 component by cultured microglial cells," <u>Brain Research</u> , 601:88-94 (1993).		
EJ	Hanes et al., "New advances in microsphere-based single-dose vaccines", <u>Advanced Drug Delivery Reviews</u> , 28: 97-119 (1997).		
EK	Hardy, "Amyloid, the presenilins and Alzheimer's disease", <u>TINS</u> , 20(4): 154-159 (1997).		
EL	Hardy, John, "New Insights into the Genetics of Alzheimer's Disease," <u>Annals of Med.</u> , 28:255-258 (1996).		
EM	Hsiao et al., "Correlative Memory Deficits, A β Elevation, and Amyloid Plaques in Transgenic Mice", <u>Science</u> , 274: 99-102 (1996).		
EN	Huberman et al., "Correlation of cytokine secretion by mononuclear cells of Alzheimer's patients and their disease stage," <u>J. Neuroimmunology</u> , 52:147-152 (1994).		
EO	Hyman et al., "Molecular Epidemiology of Alzheimer's Disease," <u>N. E. J. Medicine</u> , 333(19):1283-1284 (1995).		
EP	Itagaki et al., "Relationship of microglia and astrocytes to amyloid deposits of Alzheimer's disease," <u>J. Neuroimmunology</u> , 24:173-182 (1989).		
EQ	Jansen et al., "Immunotoxins: Hybrid Molecules Combining High Specificity and Potent Cytotoxicity", <u>Immun. Rev.</u> , 62: 185-216 (1982).		
ER	Kalaria, R. N., "Serum amyloid P and related molecules associated with the acute-phase response in Alzheimer's disease," <u>Res. Immunology</u> , 143:637-641 (1992).		
ES	Kawabata et al., "Amyloid plaques, neurofibrillary tangles and neuronal loss in brains of transgenic mice overexpressing a C-terminal fragment of human amyloid precursor protein," <u>Nature</u> , 354:476-478 (1991).		
ET	Lampert-Etchells et al., "Regional Localization of Cells Containing Complement C1q and C4 mRNAs in the Frontal Cortex During Alzheimer's Disease," <u>Neurodegeneration</u> , 2:111-121 (1993).		
EU	Langer, "New Methods of Drug Delivery", <u>Science</u> , 249: 1527-1532 (1990).		
EV	Lannfelt et al., "Alzheimer's disease: molecular genetics and transgenic animal models," <u>Behavioural Brain Res.</u> , 57:207-213 (1993).		

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		Filing Date: May 26, 2000	Group: 1641-1647
EW	Lemere et al., "Mucosal Administration of A β Peptide Decreases Cerebral Amyloid Burden In Pd-App Transgenic Mice," <u>Society for Neuroscience Abstracts</u> , vol. 25, part I, Abstract 519.6, 29th Annual Meeting, 10/23-28/99.		
EX	Livingston et al., "The Hepatitis B Virus-Specific CTL Responses Induced in Humans by Lipopeptide Vaccination Are Comparable to Those Elicited by Acute Viral Infection", <u>J. Immunol.</u> , 159: 1383-1392 (1997).		
EY	Lopez et al., "Serum auto-antibodies in Alzheimer's disease," <u>Acta. Neurol. Scand.</u> , 84:441-444 (1991).		
EZ	McGee et al., "The encapsulation of a model protein in poly (D, L lactide-co-glycolide) microparticles of various sizes: an evaluation of process reproducibility", <u>J. Micro. Encap.</u> , 14(2): 197-210 (1997).		
FA	Meda et al., "Activation of microglial cells by β -amyloid protein and interferon- γ ," <u>Nature</u> , 374:647-650 (1995).		
FB	Miller et al., "Antigen-driven Bystander Suppression after Oral Administration of Antigens," <u>J. Exp. Med.</u> , 174:791-798 (1991).		
FC	Nathanson et al., "Bovine Spongiform Encephalopathy (BSE): Causes and Consequences of a Common Source Epidemic", <u>Am. J. Epidemiol.</u> , 145(11): 959-969 (June 1, 1997).		
FD	New York Times National, "Anti-Inflammatory Drugs May Impede Alzheimer's," (2/20/94).		
FE	Paresce et al., "Microglial cells influence aggregates of the Alzheimer's disease amyloid beta-protein via a scavenger receptor," <u>Neuron</u> , 17:553-565 (September 1996).		
FF	Paul et al., "Transdermal immunization with large proteins by means of ultra-deformable drug carriers", <u>Eur. J. Immunol.</u> , 25: 3521-3524 (1995).		
FG	Prieels et al., "Synergistic adjuvants for vaccines", <u>Chemical Abstracts</u> , 120(8): pg. 652, column 1, abstract 86406t (1994).		
FH	Quon et al., "Formation of β -Amyloid protein deposits in brains of transgenic mice," <u>Nature</u> , 352:239-241 (1991).		
FI	Raso, V. A., "Immunotherapy of Alzheimer's Disease," <u>Immunotherapy Weekly</u> , Abstract (4/2/98).		
FJ	Rogers et al., "Complement activation by β -amyloid in Alzheimer Disease," <u>PNAS</u> , 89:1-5 (1992).		
FK	Rossor et al., "Alzheimer's Disease Families with Amyloid Precursor Protein Mutations," <u>Annals of New York Academy of Sciences</u> , 695:198-202 (1993).		
FL	Selkoe, D.J., "Imaging Alzheimer's Amyloid," <u>Nat. Biotech.</u> , 18:823-824 (2000).		
FM	Selkoe, Dennis J., "Amyloid Protein and Alzheimer's Disease.....," <u>Scientific American</u> , pgs. 68-78 (11/91).		
FN	Selkoe, Dennis J., "In the Beginning....," <u>Nature</u> , 354:432-433 (1991).		
FO	Selkoe, Dennis J., "The Molecular pathology of Alzheimer's Disease," <u>Neuron</u> , 6:487-498 (1991).		
FP	Selkoe, Dennis J., "Alzheimer's Disease: Genotypes, Phenotype, and Treatments," <u>Science</u> , 275:630-631 (1997).		
FQ	Selkoe, "Alzheimer's Disease: A Central Role for Amyloid", <u>J. Neuropathol. Exp. Neurol.</u> , 53(5): 438-447 (1994).		
FR	Selkoe, "Physiological production of the β -amyloid protein and the mechanism of Alzheimer's disease", <u>Trends in Neurosciences</u> , 16(10): 403-409 (1993).		
FS	Seubert et al., "Isolation and quantification of soluble Alzheimer's β -peptide from biological fluids", <u>Nature</u> , 359: 325-327 (1992).		
FT	Shiosaka, Sadao, "Attempts to make models for Alzheimer's disease," <u>Neuroscience Res.</u> , 13:237-255 (1992).		
FU	Smits et al., "Prion Protein and Scrapie Susceptibility", <u>Vet. Quart.</u> , 19(3): 101-105 (1997).		
FV	Solomon et al., "Disaggregation of Alzheimer β -amyloid by site-directed mAb," <u>PNAS</u> , 94:4109-4112 (1997).		
FW	Solomon et al., "Monoclonal antibodies inhibit <i>in vitro</i> fibrillar aggregation of the Alzheimer β -amyloid peptide," <u>PNAS</u> , 93:452-455 (1996).		
FX	Solomon, A., "Pro-Rx (Protein Therapeutics)," University of Tennessee-Medical Center, <i>memorandum</i>		
FY	Solomon, B., "New Approach Towards Fast Induction of Anti β -Amyloid Peptide Immune Response," Department of Molecular Microbiology & Biotechnology, Tel-Aviv University, Ramat-Aviv, Tel-Aviv, Israel. <i>memorandum</i>		
FZ	Stoute et al., "A Preliminary Evaluation of a Recombinant Circumsporozoite Protein Vaccine Against <i>Plasmodium Falciparum</i> Malaria", <u>N. Engl. J. Med.</u> , 336(2): 86-91 (1997).		

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: DALE B. SCHENK et al.		
		Filing Date: May 26, 2000	Group: 1641 1647	
SI	GA	Sturchler-Pierrat et al., "Two amyloid precursor protein transgenic mouse models with Alzheimer disease-like pathology", <u>PNAS</u> , 94: 13287-13292 (1997).		
	GB	Tanaka et al., "NC-1900, an active fragment analog of arginine vasopressin, improves learning and memory deficits induced by beta-amyloid protein in rats," <u>European J. Pharmacology</u> , 352:135-142 (1998).		
	GC	Trieb et al., "Is Alzheimer beta amyloid precursor protein (APP) an autoantigen? Peptides corresponding to parts of the APP sequence stimulate T lymphocytes in normals, but not in patients with Alzheimer's disease," <u>Immunobiology</u> , 191(2-3):114-115 Abstract C.37, (1994).		
	GD	Verbeek et al., "Accumulation of Intercellular Adhesion Molecule-1 in Senile Plaques in Brain Tissue of patients with Alzheimer's Disease," <u>Amer. Journ. Pathology</u> , 144(1):104-116 (1994).		
	GE	Walker et al., "Labeling of Cerebral Amyloid <i>In Vivo</i> with a Monoclonal Antibody," <u>J. Neuropath. Exp. Neurology</u> , 53(4):377-383 (1994).		
	GF	Wengenack et al., "Targeting Alzheimer amyloid plaques <i>in vivo</i> ," <u>Nature Biotech.</u> , 18:868-824 (2000).		
	GG	Weiner et al., "ORAL TOLERANCE: Immunologic Mechanisms and Treatment of Animal and Human Organ-Specific Autoimmune Diseases by Oral Administration of Autoantigens," <u>Annu. Rev. Immunol.</u> , 12:809-837 (1994).		
	GH	Weissmann et al., "Bovine spongiform encephalopathy and early onset variant Creutzfeldt-Jakob disease", <u>Curr. Opin. Neurobiol.</u> , 7: 695-700 (1997).		
SI	GI	Wood et al., "Amyloid precursor protein processing and A β 42 deposition in a transgenic mouse model of Alzheimer disease", <u>PNAS</u> , 94: 1550-1555 (1997).		
	GJ	Human Immunology & Cancer Program brochure, from The University of Tennessee Medical Center/Graduate School of Medicine, Knoxville, Tennessee, <i>improper format</i>		
EXAMINER	<i>S. Srinivasan</i>		DATE CONSIDERED	12-5-02

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION ENCLOSURE		Applicant: Schenk et al.			
STATEMENT (Use several sheets if necessary)		Filing Date: May 26, 2000		Group: 164+ 1647	
Reference Designation		U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class
					Filing Date (If Appropriate)
FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Sub-class
AA	EP 613 007	8/31/94	Europe		
AB	WO 95/11994	5/4/95	PCT		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
AC	Schenk et al., "Immunization with amyloid- β attenuates Alzheimer-disease-like pathology in the PDAPP mouse," <u>Nature</u> , 400:173-177 (1999).				
AD	Van Gool et al., "Concentrations of amyloid- β protein in cerebrospinal fluid increase with age in patients free from neurodegenerative disease," <u>Neuroscience Letters</u> , 172:122-124 (1994).				
EXAMINER	DATE CONSIDERED 10-5-02				

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#11

Substitute for form 1449A/PTO

Complete If Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Application Number	09/580,018
Filing Date	05/26/00
First Named Inventor	Dale B. Schenk
Group Art Unit	1641-1647
Examiner Name	Unassigned - NICHOLS
Attorney Docket Number	15270J-004760US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
85	196	6,150,091		Pandolfo et al.	11-21-2000	
	1	6,057,367		Stamler et al.	05-02-2000	
	207	5,780,587		Potter	07-14-1998	
	197	5,744,368		Goldgaber et al.	04-28-1998	
	211	5,736,142		Seita et al.	04-07-1998	
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	198	5,004,697		Pardridge	04-02-1991	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
80	187	EP	783 104	A1		07-09-1997		
	199	PCT	00/77178	A1		12-21-2000		
	188	PCT	00/43049	A1		07-27-2000		
	203	PCT	99/00150	A2		01-07-1999		
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Examiner Signature *Dale B. Schenk*

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

3

Complete If Known

Application Number	09/580,018
Filing Date	05/26/00
First Named Inventor	Dale B. Schenk
Group Art Unit	1644-1647
Examiner Name	Unassigned NICHOLS

Attorney Docket Number

15270J-004760US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SS	204	BERCOVICI et al., "Chronic Intravenous Injections of Antigen Induce and Maintain Tolerance in T Cell Receptor-Transgenic Mice," <i>Eur. J. Immunol.</i> 29:345-354 (1999).	<input type="checkbox"/>
	212	BICKEL et al., "Site Protected, Cationized Monoclonal Antibody Against Beta Amyloid as a Potential Diagnostic Imaging Technique for Alzheimer's Diseases," <i>Soc. for Neuroscience Abstracts</i> 18:764 (1992).	<input type="checkbox"/>
	176	BARD et al., "Peripherally administered antibodies against amyloid β -peptide enter the central nervous system and reduce pathology in a mouse model of Alzheimer disease," <i>Nature Medicine</i> , 6(8):916-919 (2000).	<input type="checkbox"/>
	213	CHEN et al. "An Antibody to β Amyloid Precursor Protein Inhibits Cell-substratum Adhesion in Many Mammalian Cell Types," <i>Neuroscience Letters</i> 125:223-226 (1991).	<input type="checkbox"/>
	214	DEMATTOS et al., "Peripheral Anti A β Antibody Alters CNS And Plasma A β Clearance and Decreases Brain A β Burden in a Mouse Model of Alzheimer's Disease," <i>Proc. Natl. Acad. Sci. USA</i> , 10.1073/pnas.151261398 (2001).	<input type="checkbox"/>
	210	FRIEDLAND et al., "Development of an anti-A β monoclonal antibody for in vivo imaging of amyloid angiopathy in Alzheimer's disease," <i>Mol. Neurology</i> , 9:107-113 (1994).	<input type="checkbox"/>
	215	GAMES et al., "Prevention and Reduction of AD-type Pathology in PDAPP Mice Immunized with A β ₁₋₄₂ ," <i>Annals of the New York Academy of Science</i> 920:274-84 (2000).	<input type="checkbox"/>
	190	GRAVINA et al., "Amyloid β Protein (A β) in Alzheimer's Disease," <i>J. Biol. Chem.</i> , 270(13):7013-7016 (1995).	<input type="checkbox"/>
	193	HARRINGTON et al., "Characterisation of an epitope specific to the neuron-specific isoform of human enolase recognised by a monoclonal antibody raised against a synthetic peptide corresponding to the C-terminus of β / A4-protein," <i>Biochimica Biophysica Acta</i> , 1158:120-128 (1993).	<input type="checkbox"/>
	177	HELMUTH, L., "Further Progress on a β -Amyloid Vaccine," <i>Science</i> , 289:375 (2000).	<input type="checkbox"/>
	192	IWATSUBO et al., "Visualization of A β 42(43) and A β 40 in Senile Plaques with End-Specific A β Monoclonals: Evidence That an Initially Deposited Species Is A β 42(43)," <i>Neuron</i> , 13:45-53 (1994).	<input type="checkbox"/>
	216	JOACHIM et al., "Antibodies to Non-beta Regions of the Beta-amyloid Precursor Protein Detect a Subset of Senile Plaques," <i>Am. J. of Pathology</i> 138:373-378 (1991).	<input type="checkbox"/>
	183	KATZAV-GOZANSKY et al., "Effect of monoclonal antibodies in preventing carboxypeptidase A aggregation," <i>Biotechnol. Appl. Biochem.</i> , 23:227-230 (1996).	<input type="checkbox"/>
	195	KONIG et al., "Development and Characterization of a Monoclonal Antibody 369.2B Specific for the Carboxyl-Terminus of the β A4 Peptide," <i>Annals of NY Acad. Sci.</i> , 777:344-355 (1996).	<input type="checkbox"/>
SS	218	MAJOWCHA et al., "Development of a Monoclonal Antibody Specific for β A4 Amyloid in Alzheimer's Disease Brain for Application to In Vitro Imaging of Amyloid Angiopathy," <i>The J. of Nuclear Med.</i> 33:2184-2189 (1992).	<input type="checkbox"/>

Examiner Signature

Date Considered

12-08-02

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	09/580,018
Filing Date	05/26/00
First Named Inventor	Dale B. Schenk
Group Art Unit	1641 (647)
Examiner Name	Unassigned NICHOLS
Attorney Docket Number	15270J-004760US

<i>ss</i>	217	MASTERS et al., "Amyloid Plaque core protein in Alzheimer Disease and Down Syndrome," <u>Proc. Natl. Acad. Sci. USA</u> , 82:4245-4249 (1985).	<input type="checkbox"/>
<i>ss</i>	206	MORI et al., "Mass Spectrometry of Purified Amyloid β Protein in Alzheimer's Disease," <u>J. Biol. Chem.</u> , 267(24):17082-17088 (1992).	<input type="checkbox"/>
<i>ss</i>	191	MURPHY et al., "Development of a Monoclonal Antibody Specific for the COOH-Terminal of β -Amyloid 1-42 and Its Immunohistochemical Reactivity in Alzheimer's Disease and Related Disorders," <u>Am. J. Pathology</u> , 144(5):1082-1088 (1994).	<input type="checkbox"/>
<i>ss</i>	144	RASO, V.A., Grant application #1R49-AGI-5740-01, (publication date unknown). <i>improper format</i>	<input type="checkbox"/>
<i>ss</i>	209	RUDINGER, "Characteristics of the Amino Acids as Components of a Peptide Hormone Sequence," in <u>Peptide Hormones</u> , J.A. Parson, ed. University Park Press, Baltimore, pp 1-7 (1976).	<input type="checkbox"/>
<i>ss</i>	189	SAIDO et al., "Spatial Resolution of Fodrin Proteolysis in Postischemic Brain," <u>J. Biol. Chem.</u> , 268(33):25239-25243 (1993).	<input type="checkbox"/>
<i>ss</i>	194	SAIDO et al., "Spatial Resolution of the Primary β -Amyloidogenic Process Induced in Postischemic Hippocampus," <u>J. Biol. Chem.</u> , 269(21):15253-15257 (1994).	<input type="checkbox"/>
<i>ss</i>	178	SCHENK et al., "Therapeutic Approaches Related to Amyloid- β Peptide and Alzheimer's Disease," <u>J. Med. Chem.</u> , 38(21):4141-4154 (1995).	<input type="checkbox"/>
<i>ss</i>	182	SOLOMON et al., "Inhibitory effect of monoclonal antibodies on Alzheimer's β -amyloid peptide aggregation," <u>Int. J. Exp. Clin. Invest.</u> , 3:130-133 (1996).	<input type="checkbox"/>
<i>ss</i>	184	SOLOMON et al., "Thermal Stabilization of Carboxypeptidase A as a Function of PH and Ionic Milieu," <u>Biochem. Mol. Biol. Int.</u> , 43(3):601-611 (1997).	<input type="checkbox"/>
<i>ss</i>	185	SOLOMON et al., "Modulation of The Catalytic Pathway of Carboxypeptidase A by Conjugation with Polyvinyl Alcohols," <u>Adv. Mol. Cell Biology</u> , 15A:33-45 (1996).	<input type="checkbox"/>
<i>ss</i>	100	SOLOMON et al., "Activity of monoclonal antibodies in prevention of <i>In vitro</i> aggregation of their antigens," abstract from Department of Molecular Microbiology and Biotechnology, Tel Aviv University, Tel Aviv, Israel (publication date unknown). <i>improper format</i>	<input type="checkbox"/>
<i>ss</i>	179	SOUTHWICK et al., "Assessment of Amyloid β protein in Cerebrospinal fluid as an Aid in the Diagnosis of Alzheimer's Disease," <u>J. Neurochemistry</u> , 66:259-265 (1996).	<input type="checkbox"/>
<i>ss</i>	180	WEN, G.Y., "Alzheimer's Disease and Risk Factors," <u>J. Food Drug Analysis</u> , 6(2):465-476 (1998).	<input type="checkbox"/>
<i>ss</i>	219	WONG et al., "Neuritic Plaques and Cerebrovascular Amyloid in Alzheimer Disease are Antigenically Related," <u>Proc. Natl. Acad. Sci. USA</u> , 82:8729-8732 (1985).	<input type="checkbox"/>

Examiner Signature	<i>Dale B. Schenk</i>	Date Considered	12-08-00
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 13

Complete If Known

Application Number	09/580,018
Filing Date	May 28, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Turner-Nichols
Attorney Docket Number	15270J-004760US

U.S. PATENT DOCUMENTS					
Examiner's Initials	Cite No. ¹	Document Number Number Kind Code ² (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>SD</i>	326	2002/0136718 A1	09-26-2002	Raso	_____
	325	2001/0102281 A1	08-01-2002	Raso	_____
	306	6,417,178 B1	07-09-2002	Klunk et al.	_____
	267	6,294,171 B2	09-25-2001	McMichael	_____
	234	6,284,221 B1	09-04-2001	Schenk, et al.	_____
	300	2001/0018053 A1	08-30-2001	McMichael	_____
	230	6,262,335 B1	07-17-2001	Hsiao et al.	_____
	305	09/724,842	11-28-2000	Chalifour et al.	_____
	231	6,114,133	09-05-2000	Seubert et al.	_____
	221	5,989,566	11-23-1999	Cobb et al.	_____
	283	09/441,140	11-16-1999	Salomon et al.	_____
	321	5,837,672	11-17-1998	Schenk et al.	_____
	320	5,593,846	01-14-1997	Schenk et al.	_____
	284	5,231,170	07-27-1993	Averbach	_____
	242	60/168,594	N/A	Chalifour et al.	_____
	252	60/169,667	N/A	Chain	_____
	295	60/184,601	N/A	Holtzman et al.	_____
	296	60/254,165	N/A	Holtzman et al.	_____
	297	60/254,498	N/A	Holtzman et al.	_____
<i>SD</i>	298	60/186,295	N/A	Rasmussen et al.	_____

CONSIDERED: NO CITATION

Examiner Signature	<i>G. H. Schenk</i>	Date Considered	4/29/03
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Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/580,018
(use as many sheets as necessary)		Filing Date	May 26, 2000
Sheet 2 of 13		First Named Inventor	Dale B. Schenk
		Art Unit	1647
		Examiner Name	Sharon Turner NICHOLS
		Attorney Docket Number	15270J-004760US

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴	Kind Code ⁵ (If known)			
CSA	294	WO	01/62801	A2	08-30-2001		
	301	WO	01/62284	A2	03-01-2000		
	298	WO	01/42306	A2	06-14-2001		
	243	WO	01/39796	A2	06-07-2001		
	322	WO	00/72880	A2, A3	12-07-2000		
	323	WO	00/72876	A2, A3	12-07-2000		
	324	WO	00/72870	A1	12-07-2000		
	240	WO	00/43039	A1	07-27-2000		
	331	WO	99/06545	A2	11-02-1999		
	227	WO	95/11008	A2	04-27-1995		

Examiner Signature	G. Nichols	Date Considered	4/29/03
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 13

* Complete if Known

Application Number	09/580,018.
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Farmer <i>AKC/HRS</i>
Attorney Docket Number	15270J-004760US

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>CGW</i>	228	BARROW, et al., "Solution Conformations and aggregational Properties of Synthetic Amyloid Beta-Peptides of Alzheimer's Disease. Analysis of Circular Dichroism Spectra" <i>J. Mol. Biol.</i> , 225(4): 1075-1093 (1992).
<i>CGW</i>	239	BEASLEY, "Alzheimer's traced to proteins caused by aging," Reuters, April 20, 2001 7:56 PM ET.
<i>CGW</i>	327	CAMERON, "Recent Advances in Transgenic Technology," <i>Molecular Biotechnology</i> , 7:253-265 (1997).
<i>CGW</i>	285	CAPUTO et al., "Therapeutic approaches targeted at the amyloid proteins in Alzheimer's disease," <i>Clin. Neuropharm.</i> , 15:414A-414B (1992).
	224	Center for Biologics Evaluation and Research, U.S. Food and Drug Administration, Thimerosal in Vaccines (Mercury in Plasma-Derived Products), web site contents found at : http://www.fda.gov/bbs/vaccine/thimerosal.htm , last updated May 16, 2002.
<i>CGW</i>	266	CHAPMAN, PAUL F., "Model behavior," <i>Nature</i> , 408:915-916 (2000).
<i>CGW</i>	222	Chemical Abstract database, Abstract of "Injection of Newborn Mice with Seven Chemical Adjuvants to Help Determine Their Safety in Use in Biologicals," Chemical Abstract database. (Publication date unknown.)

Examiner Signature	<i>CGW</i>	Date Considered	4/29/03
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/580,018
(use as many sheets as necessary)		Filing Date	May 26, 2000
Sheet 4 of 18		First Named Inventor	Dale B. Schenk
		Art Unit	1647
		Examiner Name	Sharon Thorne <i>DICHOES</i>
		Attorney Docket Number	15270J-004760US

307	CHEN, et al. A learning deficit related to age and beta-amyloid plaques in a mouse model of Alzheimer's disease. <i>Nature</i> , 408(6815):975-9 (2000).
332	CHEN, et al., "Neurodegenerative Alzheimer-like pathology in PDAPP 717V→F transgenic mice," <i>Progress in Brain Research</i> , Van Leeuwen et al. Eds, 117:327-337 (1998).
302	CHUNG et al. "Uptake, Degradation, and Release of Fibrillar and Soluble Forms of Alzheimer's Amyloid β -Peptide by Microglial Cells," <i>J. Biol. Chem.</i> , 274(45):32301-32308 (1999).
291	COLOMA et al., "Transport Across the Primate Blood-Brain Barrier of a Genetically Engineered Chimeric Monoclonal Antibody to the Human Insulin Receptor," <i>Pharm. Res.</i> , 17:266-274 (2000).
333	CONWAY et al., "Acceleration of oligomerization, not fibrillization, is a shared property of both α -synuclein mutations linked to early-onset Parkinson's disease: Implications for pathogenesis and therapy," <i>PNAS</i> , 97(2):571-576 (2000)
286	CORDELL, B., " β -Amyloid formation as a potential therapeutic target for Alzheimer's disease," <i>Ann. Rev. Pharmacol. Toxicol.</i> , 34:69-89 (1994).
287	COSTA et al., "Immunoassay for transthyretin variants associated with amyloid neuropathy," <i>Scand. J. Immunol.</i> , 38:177-182 (1993).
293	DALY, et al., "Detection of the membrane-retained carboxy-terminal tail containing polypeptides of the amyloid precursor protein in tissue from Alzheimer's Disease brain," <i>Life Sci.</i> , 63:2121-2131 (1998).

Examiner Signature	<i>Sharon Thorne</i>	Date Considered	4/29/03
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²Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Sheet

5 of 13

Complete if Known

Application Number	09/580,018
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Turner <i>SV/H/S</i>
Attorney Docket Number	15270J-004760US

	220	Diaglyc-Derwent, Abstract of WPI Acc No. 1997-054436/199706: Stable vaccine compns. - comprise a macrocyclic lactone, a milbemycin, an avermectin, an antigen, a dispersing agent, an adjuvant, a water-sol. organic solvent and saline or water, Derwent File 351, Derwent WPI database. (Publication date unknown.)
<i>CDW</i>	318	DU, et al. Reduced levels of amyloid beta-peptide antibody in Alzheimer disease. <i>Neurology</i> , 57(5):801-5 (2001).
	288	DUMERY et al., "β-Amyloid protein aggregation: its implication in the physiopathology of Alzheimer's disease," <i>Pathol. Biol.</i> , 49:72-85 (2001).
	225	Elan, "Elan and AHP Provide an Update on the Phase 2A Clinical Trial of AN-1792," <i>Press Release</i> . (1/28/2002).
	226	Elan, "Elan and Wyeth Provide Update on Status of Alzheimer's Collaboration," <i>Press Release</i> (3/1/2002)
	289	ESIRI, "Is an effective immune intervention for Alzheimer's disease in prospect?" <i>Trends in Pharm. Sci.</i> , 22:2-3 (2001).
	328	FELSENSTEIN et al., "Transgenic Rat and In-Vitro Studies of B-Amyloid Precursor Protein Processing;" <i>Alzheimer's and Parkinson's Diseases</i> , Hanin et al. Ed., pp 401-409, Plenum Press, New York, (1995). -
<i>CDW</i>	246	FRENKEL et al., "Generation of auto-antibodies towards Alzheimer's disease vaccination," <i>Vaccine</i> , 19:2815-2819 (2001).
<i>CDW</i>	245	FRENKEL et al., "High affinity binding of monoclonal antibodies to the sequential epitope EFRH of β-amyloid peptide is essential for modulation of fibrillar aggregation," <i>J. of Neuroimmunology</i> , 95:136-142 (1999). -

Examiner
SignatureDate
Considered

4/29/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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13

Complete If Known	
Application Number	109/580,018
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Turner, <i>NOTCHOLS</i>
Attorney Docket Number	15270J-004760US

CJW	247	FRENKEL et al., "Immunization against Alzheimer's β -amyloid-plaques via EFRH phage administration," <i>PNAS USA</i> , 97:11455-11459 (2000).
	248	FRENKEL et al., "N-terminal EFRH sequence of Alzheimer's β -amyloid peptide represents the epitope of its anti-aggregating antibodies," <i>J. of Neuroimmunology</i> , 88:85-90 (1998).
	244	FRENKEL, et al., "Modulation of Alzheimer's β -amyloid neurotoxicity by site-directed single chain antibody," <i>J. of Neuroimmunology</i> , 106:23-31 (2000).
	249	FRIEDLAND, et al., "Neuroimaging of Vessel Amyloid in Alzheimer's Disease," in <i>Cerebrovascular Pathology in Alzheimer's Disease</i> , eds. de la Torre and Hachinski, New York Academy of Sciences, New York, New York (1997).
	251	GARDELLA et al., "Intact Alzheimer amyloid precursor protein (APP) is present in platelet membranes and is encoded by platelet mRNA," <i>Biochem. Biophys. Res. Comm.</i> , 173:1292-1298 (1990).
	252	GEDDES, "N-terminus truncated β -amyloid peptides and C-terminus truncated secreted forms of amyloid precursor protein: distinct roles in the pathogenesis of Alzheimer's disease," <i>Neurobiology of Aging</i> , 20:75-79 (1999).
CJW	253	GIULIAN, et al., "The HHQK Domain of b-Amyloid Provides a Structural Basis for the Immunopathology of Alzheimer's Disease," <i>Journal of Biological Chem.</i> , 273:29719-29726 (1998).
CJW	303	GONZALES-FERNANDEZ et al., "Low antigen dose favors selection of somatic mutants with hallmarks of antibody affinity maturation," <i>Immunology</i> , 93:149-153 (1998).

Examiner Signature	G. M. Schenk	Date Considered	4/29/03
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1 Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

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Sheet

7

of

13

Complete if Known

Application Number	09/580,018
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1847
Examiner Name	Sharon Turner <i>SV/HJS</i>
Attorney Docket Number	15270J-004760US

<i>CSN</i>	237	GÖRTNER, <u>Outlines of Biochemistry</u> , pp. 322-323, John Wiley & Sons, Inc., New York (1949).
	254	GRUBECK-LOEBENSTEIN, et al., "Immunization with β -amyloid: could T-cell activation have a harmful effect?", <u>TINS</u> , 23:114 (2000).
	241	HAASS et al. "Amyloid beta-peptide is produced by cultured cells during normal metabolism," <u>Nature</u> , 359(6393):322-5 (1992).
	255	HARIGAYA, et al., "Modified amyloid β protein ending at 42 or 40 with different solubility accumulates in the brain of Alzheimer's disease," <u>Biochem. Biophys. Res. Comm.</u> , 211:1015-1022 (1995).
	229	HAZAMA, et al., "Intranasal Immunization Against Herpes Simplex Virus Infection by Using a Recombinant Glycoprotein D Fused With Immunomodulating Proteins, the B Subunit of <i>Escherichia Coli</i> Heat-Labile Enterotoxin and Interleukin-2", <u>Immunology</u> , Vol. 78: 643-649 (1993).
	236	HILBICH et al., "Human and rodent sequence analogs of Alzheimer's amyloid β A4 share similar properties and can be solubilized in buffers of pH 7.4," <u>Eur. J. Biochem.</u> , 201:61-69 (1991).
	258	IKEDA, et al., "Immunogold labeling of cerebrovascular and neuritic plaque amyloid fibrils in Alzheimer's disease with an anti- β protein monoclonal antibody," <u>Lab. Invest.</u> , 57:446-449 (1987).
<i>✓</i>	308	JANUS, et al. A beta peptide immunization reduces behavioural impairment and plaques in a model of Alzheimer's disease, <u>Nature</u> , 408(6815):979-82 (2000).
<i>CSN</i>	257	JEN, et al., "Preparation and purification of antisera against different regions or isoforms of β -amyloid precursor protein," <u>Brain Research Protocols</u> , 2:23-30 (1997).

Examiner Signature	<i>Mar B.</i>	Date Considered	4/29/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/580,018
(use as many sheets as necessary)		Filing Date	May 26, 2000
Sheet 8 of 13		First Named Inventor	Date B: Schenk
		Art Unit	1647
		Examiner Name	Sharon Turner <i>NICOLE S.</i>
		Attorney Docket Number	15270J-004760US

<i>CDR</i>	334	JOBLING and HOLMES, "Analysis of structure and function of the B subunit of cholera toxin by the use of site-directed mutagenesis," <u>Molecular Microbiology</u> , 5(7):1755-1767 (1991).
	258	KIDA, et al., "Early amyloid- β deposits show different immunoreactivity to the amino- and carboxy-terminal regions of β -peptide in Alzheimer's disease and Down's syndrome brain," <u>Neuroscience Letters</u> , 193:105-108 (1995).
	259	LANSBURY, PETER T., "Inhibition of amyloid formation: a strategy to delay the onset of Alzheimer's disease," <u>Curr. Op. in Chemical Biology</u> , 1:260-267 (1997).
	260	LEMERÉ, et al., "Nasal $\text{A}\beta$ treatment induces anti- $\text{A}\beta$ antibody production and decreases cerebral amyloid burden in PD-APP mice," <u>Annals of the NY Acad. Sci.</u> , 920:328-331 (2000).
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<i>CDR</i>	262	MANN, et al., "The extent of amyloid deposition in brain in patients with Down's syndrome does not depend upon the apolipoprotein E genotype," <u>Neuroscience Letters</u> , 196:105-108 (1995).
<i>CDR</i>	335	MASLIAH et al., " β -Amyloid peptides enhance α -synuclein accumulation and neuronal deficits in a transgenic mouse model linking Alzheimer's disease and Parkinson's disease," <u>PNAS</u> , 98(21):12245-12250 (2001).

Examiner Signature	<i>G. Miller</i>	Date Considered	4/29/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 of 13

Complete if Known

Application Number	09/580,018
Filing Date	May 28, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Turner, JOHN CHOLS
Attorney Docket Number	15270J-004780US

309	MATTSON, Cellular actions of beta-amyloid precursor protein and its soluble and fibrillrogenic derivatives. <i>Physiol Rev.</i> 77(4):1081-132 (1997).
264	MCGEER, et al., "Immunohistochemical localization of beta-amyloid precursor protein sequences in Alzheimer and normal brain tissue by light and electron microscopy," <i>J. of Neuroscience Res.</i> , 31:428-442 (1992).
238	MCNEAL et al., "Stimulation of local immunity and protection in mice by intramuscular immunization with triple- or double-layered rotavirus particles and QS-21," <i>Virology</i> , 243:158-166 (1998).
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310	MERLUZZI, et al. Humanized antibodies as potential drugs for therapeutic use. <i>Adv Clin Path.</i> 4(2):77-85 (2000).
311	MORGAN, et al. A beta peptide vaccination prevents memory loss in an animal model of Alzheimer's disease. <i>Nature</i> , 408(6815):982-5 (2000).
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250	NAKAMURA, et al., "Histopathological studies on senile plaques and cerebral amyloid angiopathy in aged cynomolgus monkeys," <i>Exp. Anim.</i> , 43:711-718 (1995).
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Examiner Signature	G. M. Schenk	Date Considered	4/29/03
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STATEMENT BY APPLICANT

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Sheet

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of 18

Complete If Known

Application Number	09/580,018
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Sharon Turner <i>ATC 44025</i>
Attorney Docket Number	16270J-004760US

<i>CGO</i>	281	NAKAYAMA et al., "Histopathological studies of senile plaques and cerebral amyloidosis in cynomolgus monkeys," <u>J. of Med. Primatology</u> , 27:244-252 (1998).
	235	NEWCOMBE and COHEN, "Solubility characteristics of isolated amyloid fibrils," <u>Biochim. Biophys. Acta</u> , 104:480-486 (1965).
	329	NIEMANN, "Transgenic farm animals get off the ground," <u>Transgenic Research</u> 7:73-75 (1998).
	280	PARDRIDGE et al., "Chimeric peptides as a vehicle for peptide pharmaceutical delivery through the blood-brain barrier," <u>Biochem. Biophys. Res. Comm.</u> , 146:307-313 (1987).
	336	PERÜTZ et al., "Amyloid fibers are water-filled nanotubes," <u>PNAS</u> , 99(8):5591-5595 (2002).
<i>✓</i>	232	PETERSON, et al., "Recombinant Antibodies: Alternative Strategies for Developing and Manipulating Murine-Derived Monoclonal Antibodies," <u>Laboratory Animal Science</u> , 46(1):8-14 (1996).
<i>CG</i>	269	PHILIPPE, et al. "Generation of a monoclonal antibody to the carboxy-terminal domain of tau by immunization with the amino-terminal domain of the amyloid precursor protein," <u>J. of Neuroscience Res.</u> , 46:709-719 (1998).
	304	RABO, V.A., Grant application #1-R43 AG15746-01 (non-redacted version), "Immunotherapy of Alzheimer's Disease" (publication date unknown).
<i>CG</i>	279	SAIFQI, et al., "Vector-mediated delivery of ¹²⁵ I-labeled β -amyloid peptide Ab ¹⁻⁴⁰ through the blood-brain barrier and binding to Alzheimer disease amyloid of the Ab ¹⁻⁴⁰ vector complex," <u>PNAS USA</u> , 92:10227-10231 (1995).

Examiner Signature	<i>Sharon Turner</i>	Date Considered	4/29/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/580,018
(use as many sheets as necessary)		Filing Date	May 26, 2000
Sheet 11 of 13		First Named Inventor	Dale B. Schenk
		Art Unit	1647
		Examiner Name	Sharon Turner <i>12/10/03</i>
		Attorney Docket Number	15270J-004760US

<i>CSU</i>	278	SAITO, N. and K. IMAI, "Immunological analysis of Alzheimer's disease using anti- β -protein monoclonal antibodies," <u>Sapporo Med. J.</u> , 60:309-320 (1991).
	277	SASAKI et al., "Human choroid plexus is an uniquely involved area of the brain in amyloidosis: a histochemical, immunohistochemical and ultrastructural study," <u>Brain Res.</u> , 755:193-201 (1997).
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	314	SIGURDSSON, et al. In vivo reversal of amyloid-beta lesions in rat brain. <u>J Neuropathol Exp Neurol.</u> 59(1):11-17 (2000).
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<i>CSU</i>	337	SKOLNICK and FETROW, "From genes to protein structure and function: novel applications of computational approaches in the genomic era," <u>Trends in Biotech.</u> , 18(1):34-39 (2000).
<i>CSU</i>	319	SMALL, et al. Alzheimer's disease and Abeta toxicity: from top to bottom. <u>Nat Rev Neurosci.</u> 2(8):595-8 (2001).

Examiner Signature	<i>G. Miller</i>	Date Considered	7/29/03
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 12 of 13

Complete if Known

Application Number	09/580,018
Filing Date	May 26, 2000
First Named Inventor	Dale B. Schenk
Art Unit	1647
Examiner Name	Charen Tömer <i>Nichols</i>
Attorney Docket Number	15270J-004Z80US

318	SOTO, et al. Beta sheet breaker peptides inhibit fibrillogenesis in a rat brain model of amyloidosis: Implications for Alzheimer's therapy. <i>Nat Med.</i> 4(7):822-8 (1998).
271	ST. GEORGE-HYSLOP, PETER H. and DAVID A. WESTAWAY, "Antibody clears senile plaques," <i>Nature</i> , 40:116-117 (1999).
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339	TENNENT et al., "Serum amyloid P component prevents proteolysis of the amyloid fibrils of Alzheimer's disease and systemic amyloidosis," <i>PNAS</i> , 92:4299-4303 (1995).
273	THORSETT, E.D. and L.H. LATIMER, "Therapeutic approaches to Alzheimer's disease," <i>Curr. Op. in Chem. Biology</i> , 4:377-382 (2000).
276	TJERNBERG et al., "Arrest of β -amyloid fibril formation by a pentapeptide ligand," <i>Journal of Biological Chemistry</i> , 271:8545-8548 (1996).
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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/580,018
Sheet	13	Filing Date	May 26, 2000
		First Named Inventor	Dale B. Schenk
		Art Unit	1647
		Examiner Name	Sharon Turner <i>ANNE HOCHS</i>
		Attorney Docket Number	15270J-004760US

<i>CSU</i>	274	WEINER et al., "Nasal administration of amyloid- β peptide decreases cerebral amyloid burden in a mouse model of Alzheimer's disease," <i>Annals of Neurology</i> , 48:567-579 (2000).
	223	WISCONSIN ALUMNI RESEARCH FOUNDATION, "Injection of Newborn Mice with Seven Chemical Adjuvants to Help Determine Their Safety in Use in Biologicals", U.S. Govt. Res. Develop. Rep., 70(24), 56, (Publication date unknown.)
<i>CSU</i>	275	WU, et al., "Drug targeting of a peptide radiopharmaceutical through the primate blood-brain barrier <i>in vivo</i> with a monoclonal antibody to the human insulin receptor," <i>J. Clin. Invest.</i> , 100:1804-1812 (1997).
<i>CSU</i>	292	YAMAGUCHI et al., Diffuse plaques associated with astroglial amyloid β protein, possibly showing a disappearing stage of senile plaques," <i>Acta Neuropathol.</i> , 95:217-222 (1998).
<i>CSU</i>	290	YOUNKIN, "Amyloid β vaccination: reduced plaques and improved cognition," <i>Nature Medicine</i> , 7:18-19 (2001).

Examiner Signature	<i>Sharon Turner</i>	Date Considered	4/29/03
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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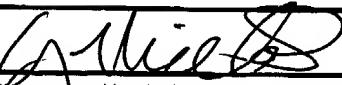
Application Number	09/580,018
Filing Date	May 26, 2000
First Named Inventor	Schenk, Dale B.
Art Unit	1647
Examiner Name	Christopher Nichols
Attorney Docket Number	15270J-004760US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CGN	349	CHECK, "Battle of the Mind," <u>Nature</u> , 422:370-372 (March 2003).	
CGN	350	Nicoll et al., "Neuropathology of human Alzheimer's disease after immunization with amyloid- β peptide: a case report," <u>Nature Medicine</u> , 9(4):448-452 (April 2003).	

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Examiner Signature		Date Considered	10/28/03
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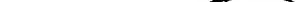
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/580,018
				Filing Date	May 26, 2000
				First Named Inventor	Schenk, Dale B., et. al.
				Art Unit	1647
				Examiner Name	Christopher J. Nichols
Page	1	of	5	Attorney Docket Number	15270J-004760US

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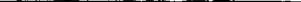
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CGD	391	AGUZZI et al., "Prion research: the next frontiers," <u>Nature</u> , 389:795-798 (1997).
	393	AKIYAMA et al., "Inflammation and Alzheimer's disease," <u>Neurobiology of Aging</u> , 21:383-421 (2000).
	372	AKIYAMA et al., "Occurrence of the Diffuse Amyloid β -Protein (A β) Deposits With Numerous A β -Containing Glial Cells in the Cerebral Cortex of Patients With Alzheimer's Disease," <u>Glia</u> , 25:324-331 (1999).
	349	CHECK, "Battle of the Mind," <u>Nature</u> , 422:370-372 (March 2003).
	390	DIOMEDE et al., "Activation effects of a prion protein fragment [PrP-(106-126)] on human leucocytes," <u>Biochem. J.</u> , 320:53-57 (1996).
	363	DODART, "Immunotherapy for Alzheimer's disease: will vaccination work?" <u>Trends in Molecular Medicine</u> , 9(3):85-87 (2003).
	386	FRATUTSCHY et al., "Effects of injected Alzheimer β -amyloid cores in rat brain," <u>PNAS</u> , 88:8362-8366 (1991).
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	397	GOLDSTEINS et al., "Goldsteins et al., Exposure of cryptic epitopes on transthyretin only in amyloid and in amyloidogenic mutants," <u>PNAS</u> , 96:3108-3113 (1999).
V	374	JAKES et al., "Characterisation of an Antibody Relevant to the Neuropathology of Alzheimer Disease," <u>Alzheimer Disease and Associated Disorders</u> , 9(1):47-51, Raven Press, Ltd., New York (1995).
CGD	371	JOHNSTONE et al., "Nuclear and Cytoplasmic Localization of the β -Amyloid Peptide (1-43) in Transfected 293 Cells," <u>Biochemical and Biophysical Research Communications</u> , 220:710-718 (1996).

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Art Unit	1647
Examiner Name	Christopher J. Nichols

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CSK	347	JORBECK et al., "Artificial <i>Salmonella</i> Vaccines: <i>Salmonella typhimurium</i> O-antigen-Specific Oligosaccharide-Protein Conjugates Elicit Opsonizing Antibodies that Enhance Phagocytosis," <i>Infection and Immunity</i> , May:497-502 (1981).	
	389	KOVÁCS et al., "Mutations of the Prion Protein Gene Phenotypic Spectrum," <i>J. Neurol.</i> , 249:1567-1582 (2002).	
	367	MONSONEGO et al., "Immune hyporesponsiveness to amyloid β -peptide in amyloid precursor protein transgenic mice: Implications for the pathogenesis and treatment of Alzheimer's disease," <i>PNAS</i> , 98(18):10273-10278 (2001).	
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	355	MUNSON ed., "Principals of Pharmacology: Basic Concepts & Clinical Applications," (1995), 47-48, Chapman & Hall, New York, New York.	
	354	MUTSCHLER et al., "Drug Actions: Basic Principles and Therapeutic Aspects," (1995) 7, 11-12, medpharm Scientific Publishers, Stuttgart, Germany.	
	350	NICOLL et al., "Neuropathology of human Alzheimer's disease after immunization with amyloid- β peptide: a case report," <i>Nature Medicine</i> , 9(4):448-452 (April 2003).	
	398	PALHA et al., "Antibody recognition of amyloidogenic transthyretin variants in serum of patients with familial amyloidotic polyneuropathy," <i>J. Mol. Med.</i> , 7:703-707 (2001).	
	394	PRUSINER et al., "Ablation of the prion protein (PrP) gene in mice prevents scrapie and facilitates production of anti-PrP antibodies," <i>PNAS</i> , 90:10608-10612 (1993).	
✓	396	SIGURDSSON et al., "Anti-prion antibodies for prophylaxis following prion exposure in mice," <i>Neurosciences Letters</i> , 336:185-187 (2003).	
✓	384	SIGURDSSON et al., "Immunization Delays the Onset of Prion Disease in Mice," <i>American Journal of Pathology</i> , 161:13-17 (2002).	

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Examiner Name	Christopher J. Nichols

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CD	400	SIGURDSSON et al., "A safer vaccine for Alzheimer's disease?," <u>Neurobiology of Aging</u> , 23:1001-1008 (2002).	
	368	SIPE, "Amyloidosis," <u>Annu. Rev. Biochem.</u> , 61:947-975 (1992).	
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	361	SU et al., "Intravascular infusions of soluble β -amyloid compromise the blood-brain barrier, activate CNS Glial cells and induce peripheral hemorrhage," <u>Brain Research</u> , 818:105-107 (1999).	
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CD	387	WELDON et al., "Neurotoxicity of A β Peptide: Confocal Imaging of Cellular Changes Induced by - Amyloid in Rat CNS <i>In Vivo</i> ," <u>Society for Neuroscience Abstracts</u> , 22(Part 1) (1996).	
CD	385	WISNIEWSKI et al., "Therapeutics in Alzheimer's and Prion Diseases," <u>Biochemical Society Transactions</u> , 30(4):-574-587 (2002).	

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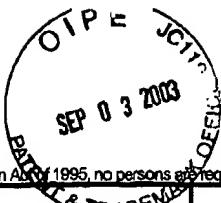
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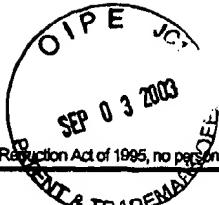
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<i>GW</i>	404	BENJAMINI and LESKOWITZ, from <i>IMMUNOLOGY A Short Course</i> , Second Edition, Chapter 4, Antibody Structure, pages 49-65, 1991, published by Wiley-Liss, Inc., New York, New York.	
<i>GW</i>	406	PAN et al., "Antibodies to β -Amyloid Decrease the Blood-to-Brain Transfer of β -Amyloid Peptide," <i>Exp. Biol. Med.</i> , 227(8):609-615 (2002).	

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